

**May 16, 2022**

To,  
The Manager-Listing  
BSE Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai- 400 001

**Ref: (MONEYBOXX | 538446 | INE296Q01012))**

Dear Sir/ Madam,

**Sub: Annual Secretarial Compliance Report for the year ended March 31, 2022 as per Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations")**

Pursuant to Regulation 24A of the Listing Regulations read with SEBI Circular No. CIR/CFD/CMD1//27/2019 dated February 8, 2019, please find enclosed the Annual Secretarial Compliance Report for the year ended March 31, 2022.

This is for your information and records.

The same will also be hosted on Company's website at [www.moneyboxxfinance.com](http://www.moneyboxxfinance.com)

Yours faithfully,  
For **Moneyboxx Finance Limited**

  
(Bhanu Puri)  
Company Secretary and Compliance Officer  
M. No. A36312

Date: May 16, 2022  
Place: Gurugram, Haryana

# **Shashank Pashine & Associates**

## **Company Secretaries**

### **Corporate Office:**

E-92, F.F, South Extension, Part -1

New Delhi - 110049

☎ Office: +91- 11 - 4155-5096

Contact No: +91- 9999630777

### **Registered Office:**

K-10, South Extension, Part - 1

New Delhi - 110049

✉ [csshashankpashine@gmail.com](mailto:csshashankpashine@gmail.com)

## **SECRETARIAL COMPLIANCE REPORT FOR THE FINANCIAL YEAR ENDED 31<sup>ST</sup> MARCH 2022**

*[Pursuant to Circular CIR/CFD/CMD1/27/2019 dated February 08, 2019 for the purpose of compliance with Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015]*

To,  
The Board of Directors,  
**MONEYBOXX FINANCE LIMITED**  
523-A, Somdutt Chamber-II 9,  
Bhikaji Cama Place,  
New Delhi-110066,  
India

CIN of Company: L30007DL1994PLC260191

Authorised Capital: Rs. 25,00,00,000/-

I have conducted the Secretarial Compliance Audit of the applicable SEBI (Securities and Exchange Board of India) Regulations and the circulars/ guidelines issued thereunder for the Financial Year ended 31st March 2022 for **MONEYBOXX FINANCE LIMITED** (the "**Company**"). The audit was conducted in a manner that provided me with a reasonable basis for evaluating the statutory compliances and expressing my opinion thereto.

I have examined:

- a) the documents and records made available to us and explanations provided by the Company,
- b) the filings / submissions made by the Company to the stock exchanges,
- c) website of the Company,
- d) other document / filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31st March 2022 ("Review Period") in respect of compliance with the provisions of:

- i. the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and



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- ii. the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");
- iii. The following Regulations prescribed under the Securities and Exchange Board of India Act, 1992 ('SEBI Act') and the circulars / guidelines issued thereunder, have been examined: -
- a. The provisions of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
  - b. The provision of Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018 including the provision with regard to disclosure and maintenance of records required under the said Regulation;
  - c. The provision of Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011 including the provisions with regard to disclosures and maintenance of records required under the said Regulations;
  - d. The provisions of Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 **were not applicable during the review period;**
  - e. The provisions of Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014 including the provisions with regard to disclosures and maintenance of records required under the said Regulations;
  - f. The provisions of Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008 **were not applicable during the review period;**
  - g. The provisions of Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013 **were not applicable during the review period;**
  - h. The provisions of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 including the provisions with regard to disclosure and maintenance of records required under the said Regulations;
  - i. The provisions of the Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993;





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- j. The provisions of the Securities and Exchange Board of India (Regulatory Fee on Stock Exchanges) Regulations, 2006;
- k. The provisions of Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2009 **were not applicable during the review period;**
- l. The provisions of the Securities and Exchange Board of India (Investor Protection and Education Fund) Regulations, 2009 **were not applicable during the review period;**
- m. The Depository Act, 1996 and the Regulations and By-laws framed thereunder to the extent of Regulation 76 of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018 to the extent applicable.

Based on my examination and verification of the documents and records produced to me and according to the information and explanations given to me by the Company, I report that: -

- a) The Company has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:

<b>Sr. No</b>	<b>Compliance Requirement (Regulations / circulars / guidelines including specific clause)</b>	<b>Deviations</b>	<b>Observations/ Remarks of the Practising Company Secretary</b>
<b>No reportable observation</b>			

- b) The Company has maintained proper records under the provisions of the above Regulations and circulars / guidelines issued thereunder to the extent as it appears from my examination of those records.
- c) The following are the details of actions taken against the Company / its promoters / directors / material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts / Regulations and circulars / guidelines issued thereunder:

<b>Sr. No</b>	<b>Action taken by</b>	<b>Details of violation</b>	<b>Details of action taken E.g. fines</b>	<b>Observations/ remarks of the Practising</b>
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			<b>warning letter, debarment, etc.</b>	<b>Company Secretary, if any.</b>
<b>No reportable observation</b>				

- d) The Company is required to take action with regard to compliance with the observations made in previous reports.

<b>Sr. No</b>	<b>Observations of the Practising Company Secretary in the previous reports</b>	<b>Observations made in the secretarial Compliance report for the year ended... (The years are to be mentioned)</b>	<b>Actions taken by the Company, if any</b>	<b>Comments of the Practising Company Secretary on the actions taken by the listed entity</b>
<b>No reportable observation</b>				

For **Shashank Pashine & Associates,**  
Company Secretaries,

ICSI Unique Code: S2018DE639400

Peer Review Cert. No.: 927/2020



**CS Shashank Pashine**

**Proprietor**

**Membership No: F11665**

**CP. No: 21229**

**UDIN No.: F011665D000319423**

**Date:** May 13, 2022

**Place:** New Delhi

**Note:** In view of the COVID-19 pandemic and as per the guidance issued by the Institute of Company Secretaries of India (ICSI) for carrying out professional assignments, the Secretarial Compliance Report in term of Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 was conducted by using appropriate Information Technology tools by virtual data sharing by way of the Company's cloud-based server - 'Microsoft Drive' to access and examine relevant documents for completion of the audit.